

**Substitute for Form 1449/PTO**

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

<p>Substitute for Form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p>	
				Application Number	New Application
				Filing Date	Concurrently herewith
				First Named Inventor:	YOUNG et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	42P19119

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
<i>[Signature]</i>	1.	WO 01/59895 A1	08-16-2001	GIGATERA AG		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Sheet

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2

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
WJS	2.	HOOGLAND et al., "Passively Mode-Locked Diode-Pumped Surface-Emitting Semiconductor Laser," <i>IEEE PHOTONICS TECHNOLOGY LETTERS</i> , vol. 12, No. 9, September 2000, pp. 1135-1137	
	3.	KARDOSH, IHAB et al., "Vertical-Extended-Cavity Surface-Emitting Lasers," <i>Annual Report 2002, Optoelectronics Department, University of Ulm</i> , pp. 1-7	
	4.	BROWNELL, MICHAEL, "External Cavity Design Yields Ultrafast VCSEL," <i>spie's oemagazine</i> , May 2002, pp. 10-11,	
	5.	HÄRING, RETO et al., "High-Power Passively Mode-Locked Semiconductor Lasers," <i>IEEE JOURNAL OF QUANTUM ELECTRONICS</i> , vol. 38, No. 9, September 2002, pp. 1268-1275	
	6.	JASIM, K. et al., "Passively Modelocked Vertical Extended Cavity Surface Emitting Diode Laser," <i>ELECTRONICS LETTERS</i> , vol. 39, No. 4, 20 <sup>th</sup> February 2003, pp. 373-375	
	7.	McINERNEY, J.G. et al., "High-Power Surface Emitting Semiconductor Laser with Extended Vertical Compound Cavity," <i>ELECTRONICS LETTERS</i> , vol. 39, No. 6, 20 <sup>th</sup> March 2003, pp. 523-525	
SL	8.	LUTGEN, S. et al., "8-W High-Efficiency Continuous-Wave Semiconductor Disk Laser at 1000 NM," <i>APPLIED PHYSICS LETTERS</i> , vol. 82, No. 21, 26 May 2003, pp. 3620-3622	

Examiner  
Signature*Healy S. Sayad, Jr.*Date  
Considered  
7/3/2006

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Application Number	10/814,050
Filing Date	March 31, 2004
First Named Inventor:	YOUNG et al.
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	42P19119

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Application Number	10/814,050
Filing Date	March 31, 2004
First Named Inventor:	Young et al.
Art Unit	2872
Examiner Name	Not Yet Assigned

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	5.	ARAHIRA, Shin et al., "Mode-Locking at Very High Repetition Rates More than Terahertz in Passively Mode-Locked Distributed-Bragg-Reflector Laser Diodes," IEEE JOURNAL OF QUANTUM ELECTRONICS, Vol. 32, No. 7, July 1996, pp. 1211-1224.	
	6.	KRAINER, L. et al., "77 GHz solit on modelocked Nd:YVO <sub>4</sub> laser," ELECTRONICS LETTERS, Vol. 36, No. 22, October 26, 2000.	
	7.	SPÜHLER, G. J. et al., "Output-coupling semiconductor saturable absorber mirror," APPLIED PHYSICS LETTERS, Vol. 78, No. 18, April 30, 2001, pp. 2733-2735.	
	8.	HUANG, Xiaodong et al., "Passive mode-locking in 1.3 μm two-section InAs quantum dot lasers," APPLIED PHYSICS LETTERS, Vol. 78, No. 19, May 7, 2001, pp. 2825-2827.	
	9.	RAFAILOV, E. U. et al., "Fast quantum-dot saturable absorber for passive mode-locking of solid-state lasers," IEEE, 2003, pp. 557-558.	
	10.	GOMES, Luis A. et al., "Picosecond SESAM-Based Ytterbium Mode-Locked Fiber Lasers," IEEE JOURNAL OF SELECTED TOPICS IN QUANTUM ELECTRONICS, Vol. 10, No. 1, January/February 2004, pp. 129-136.	
	11.	LIVERINI, V. et al., "Low-loss GaInNAs saturable absorber mode locking a 1.3-μm solid-state laser," APPLIED PHYSICS LETTERS, Vol. 84, No. 20, May 17, 2004, pp. 4002-4004.	
	12.	LORENSER, D. et al., "Towards wafer-scale integration of high repetition rate passively mode-locked surface-emitting semiconductor lasers," APPLIED PHYSICS B (LASERS AND OPTICS), Vol. B79, No. 8, December 2004, pp. 927-932.	
	13.	PCT Search Report, PCT/US2005/010400, July 6, 2005.	
	14.	PCT Written Opinion, PCT/US2005/010400, July 6, 2005.	

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